



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Docket No: Q86432

Noriyuki KIZAKI, et al.

Appln. No.: 10/528,000

Group Art Unit: 1646

Confirmation No.: 7567

Examiner: Mohammad Y Meah

Filed: March 21, 2005

For: NOVEL CARBONYL REDUCTASE, GENE THEREOF AND METHOD OF USING THE SAME

REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT

ATTN: Office of Initial Patent Examination
Filing Receipt Correction
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

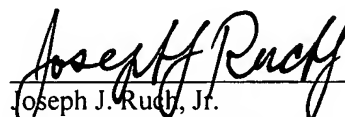
We enclose a copy of the Official Filing Receipt for the above-identified application and request the following correction(s):

Filing Date: 03/21/2005 08/08/2005

Applicant(s): Noriyuki Kizaki, ~~Takasago-shi~~ Takasago-shi, JAPAN;

Verification for the requested correction(s) is indicated on the Declaration and Power of Attorney filed August 8, 2005.

Respectfully submitted,


Joseph J. Rudy, Jr.
Registration No. 26,577

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

WASHINGTON OFFICE

23373

CUSTOMER NUMBER

Date: March 15, 2006



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO	FIRST NAMED APPLICANT	ATTY DOCKET NO
10/528,000	Noriyuki Kizaki	Q86432

INTERNATIONAL APPLICATION NO

PCT/JP03/11957

IA FILING DATE	PRIORITY DATE
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09/19/2003

09/19/2002

23373
SUGHRUE MION, PLLC
2100 PENNSYLVANIA AVENUE, N.W.
SUITE 800
WASHINGTON, DC 20037

CONFIRMATION NO. 7567

371 ACCEPTANCE LETTER



OC000000017045477

Date Mailed: 09/29/2005

NOTICE OF ACCEPTANCE OF APPLICATION UNDER 35 U.S.C 371 AND 37 CFR 1.495

The applicant is hereby advised that the United States Patent and Trademark Office in its capacity as a Designated / Elected Office (37 CFR 1.495), has determined that the above identified international application has met the requirements of 35 U.S.C. 371, and is ACCEPTED for national patentability examination in the United States Patent and Trademark Office.

The United States Application Number assigned to the application is shown above and the relevant dates are:

<p>03/21/2005 <u>03/08/2005</u></p> <p>DATE OF RECEIPT OF 35 U.S.C. 371(c)(1), (c)(2) and (c)(4) REQUIREMENTS</p>
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08/08/2005
DATE OF COMPLETION OF ALL 35 U.S.C. 371 REQUIREMENTS

A Filing Receipt (PTO-103X) will be issued for the present application in due course. **THE DATE APPEARING ON THE FILING RECEIPT AS THE " FILING DATE" IS THE DATE ON WHICH THE LAST OF THE 35 U.S.C. 371 (c)(1), (c)(2) and (c)(4) REQUIREMENTS HAS BEEN RECEIVED IN THE OFFICE. THIS DATE IS SHOWN ABOVE.** The filing date of the above identified application is the international filing date of the international application (Article 11(3) and 35 U.S.C. 363). Once the Filing Receipt has been received, send all correspondence to the Group Art Unit designated thereon.

The following items have been received:

- Copy of the International Application filed on 03/21/2005
- English Translation of the IA filed on 03/21/2005
- Copy of the International Search Report filed on 03/21/2005
- Preliminary Amendments filed on 03/21/2005
- Information Disclosure Statements filed on 03/21/2005
- Biochemical Sequence Diskette filed on 03/21/2005
- Oath or Declaration filed on 03/21/2005
- Biochemical Sequence Listing filed on 03/21/2005
- Request for Immediate Examination filed on 03/21/2005
- U.S. Basic National Fees filed on 03/21/2005
- Priority Documents filed on 03/21/2005

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

INDIA L EVANS

Telephone: (703) 308-9140 EXT 212

PART 1 - ATTORNEY/APPLICANT COPY

FORM PCT/DO/EO/903 (371 Acceptance Notice)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/528,000	03/21/2005 ✓	1646	1180 ✓	Q86432 ✓	2 ✓	23 ✓	3 ✓

08/08/2005

23373
 SUGHRUE MION, PLLC
 2100 PENNSYLVANIA AVENUE, N.W.
 SUITE 800
 WASHINGTON, DC 20037

CONFIRMATION NO. 7567

FILING RECEIPT



0C000000017045476

Date Mailed: 09/29/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Noriyuki Kizaki, Taksago-shi, JAPAN; ✓
 Tozo Nishiyama, Takasago-shi, JAPAN; ✓
 Yoshihiko Yasohara, Takasago-shi, JAPAN; ✓

TAKASAGO-SHI, JAPAN

Power of Attorney: The patent practitioners associated with Customer Number 23373. ✓

Domestic Priority data as claimed by applicant

This application is a 371 of PCT/JP03/11957 09/19/2003 ✓

Foreign Applications

JAPAN 2002-272976 09/19/2002 ✓

Projected Publication Date: To Be Determined - pending completion of Security Review

Non-Publication Request: No

Early Publication Request: No

Title

Novel carbonyl reductase, gene thereof and method of using the same ✓

Preliminary Class

530

PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Bureau of Industry and Security, Department of Commerce (15 CFR parts 730-774); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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FILING RECEIPT
PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re application of
Noriyuki KIZAKI et al.

Appln. No.: 10/528,000

Confirmation No.: 7567

Filed: March 21, 2005



Group Art Unit: 1646

Examiner: Not Yet Assigned

For: NOVEL CARBONYL REDUCTASE, GENE THEREOF AND METHOD OF USING THE
SAME

PAPER(S) FILED ENTITLED:

1. Submission of Executed Declaration
2. Copy of the executed Declaration and Power of Attorney
3. Check No. 278529 in the amount of \$130.00
4. Copy of an executed Assignment
5. Form PTO-1595
6. Check No. 218521 in the amount of \$40.00

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
Facsimile: (202) 293-7860

DOCKET NO.: Q86432
ATTORNEY/SEC: JJR/adc
Date Filed: August 8, 2005

WASHINGTON OFFICE

23373

CUSTOMER NUMBER



WASHINGTON 15 MAR 2006

FILING RECEIPT

PLEASE DATE STAMP AND RETURN TO US - BOX 235X

In re application of

Noriyuki KIZAKI, et al.

Appln. No.: 10/528,000

Group Art Unit: 1646

Confirmation No.: 7567

Examiner: Mohammad Y Meah

Filed: March 21, 2005

For: NOVEL CARBONYL REDUCTASE, GENE THEREOF AND METHOD OF USING THE SAME

PAPER(S) FILED ENTITLED:

1. Request For Corrected Notice of Acceptance of Application Under 35 U.S.C. 371 And 37 C.F.R. § 1.495
2. Request For Corrected Official Filing Receipt
3. Marked Up Copy of Official Filing Receipt
4. Marked Up Copy of Notice of Acceptance of Application Under 35 U.S.C. 371 And 37 C.F.R. § 1.495
5. Declaration and Power of Attorney
6. Transmittal Sheet

SUGHRUE MION, PLLC
Telephone: (202) 293-7060
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DOCKET NO.: Q86432
ATTORNEY/SEC: JJR/mnl
Date Filed: March 15, 2006

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REQUEST FOR CORRECTED

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Please correct the **DATE OF RECEIPT of 35 U.S.C. 371(c)(1),(c)(2) and (c)(4)**

REQUIREMENTS: ~~05/05/2005~~ 08/08/2005

Verification for the requested correction(s) is indicated on the copy of the Filing Receipt.

Respectfully submitted,

SUGHRUE MION, PLLC
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Joseph J. Ruch, Jr.
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WASHINGTON OFFICE

23373

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Date: March 15, 2006